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#### IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Inventor(s):

WALLACE, Andrew; MARTIN, Philip J.; FARNABY, Alan D.

Title:

A MULTI-COMPONENT CATALYST SYSTEM FOR THE

POLYCONDENSATION MANUFACTURE OF POLYESTERS

Serial No.:

10/721684

Filed:

25 November 2003

Examiner:

N/A

Art Unit:

N/A

Date:

28 April 2004

Mail Stop Disclosure Statement Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

#### Disclosure Statement Pursuant to 37 C.F.R. §1.56

Transmitted herewith is an Information Disclosure Statement disclosing information which has come to the attention of applicant(s) and/or their agents and is being submitted in compliance with the duty of disclosure set forth in 37 C.F.R. §1.56.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

In accordance with 37 C.F.R. §1.98, transmitted herewith are:

- 1. A completed copy of Form PTO/SB/08 "Information Disclosure Statement by Applicant" listing the patents, publications and other information being submitted for consideration; and
- 2. A legible copy of each patent, publication and other item of information in written form listed on the enclosed Form PTO/SB/08, except for copies of U.S. patents and published U.S. patent applications which are not required for applications filed after 30 June 2003.

As this application was filed after 30 June 2003, copies of the U.S. patents and published U.S. patent applications listed on the enclosed Form PTO/SB/08 are not required and, therefore, not included herewith.

#### **Non-English Information**

Pursuant to 37 C.F.R. §1.98, a concise explanation of the relevance (as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information), of each listed patent or publication that is not in the English language is as set out in the English language abstract within or attached to each listed patent or publication.

Respectfully submitted,

By:

Gerald O.S. Oyen

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Vancouver, B.C.

CANADA

PTO/SB/08A (08-03)

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Sheet 1

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/721,684			
Filing Date	25 November 2003			
First Named Inventor	Andrew Wallace			
Art Unit				
Examiner Name				
Attorney Docket Number	P27267-A USA			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (d known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	<sup>US-</sup> 5,417,908	05-23-1995	Enggasser et al.	
	AB	<sup>US-</sup> 6,258,925 B1	07-10-2001	Dowling et al.	
	AC	<sup>US-</sup> 6,358,578 B1	03-19-2002	Otto et al.	
	AD	<sup>US-</sup> 6,365,659 B1	04-02-2002	Aoyama et al.	
,	AE	<sup>US-</sup> 6,372,879 B1	04-16-2002	Dowling et al.	
	AF	<sup>US-</sup> 6,417,320 B1	06-09-2002	Otto et al.	
	AG	<sup>US-</sup> 4,361,694	11-30-1982	Weinberg et al.	
	AH	<sup>US-</sup> 5,644,019	07-01-1997	Po et al.	
	Al	<sup>US-</sup> 6,156,867	12-05-2000	Aoyama et al.	
	AJ	<sup>US-</sup> 4,433,135	02-21-1984	Worley et al.	
	AK	<sup>US-</sup> 2003/0045673 A1	03-06-2003	Nakajima et al.	
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	FOREIGN PATENT DOCUMENTS						
Examiner Cite		Foreign Patent Document		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)		MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
	BA	WO 93/22367	•	11-11-1993	Muller		
	BB	WO 99/28033		06-10-1999	Ridland		
	ВС	WO 00/71252	•	11-30-2000	Lindall		
	BD	WO 01/56694	•	08-09-2001	Lindall		
	BE	WO 01/14448	•	03-01-2001	Nakajima		
	BF	WO 02/42537 A2	,	05-30-2002	Bellamy et al.		

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Sheet 2

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/721,684			
Filing Date	25 November 2003			
First Named Inventor	Andrew Wallace			
Art Unit				
Examiner Name				
Attorney Docket Number	P27267-A USA			

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number Publication I MM-DD-YY		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	BG	EP 1 153 953 A1	•	11-14-2001	Nakajima et al.		Г
	BH	EP 0 745 629 A2	*	12-4-1996	Po'		Г
	BI	EP 1 227 117 A1	•	07-31-2002	Nakajima		Г
	BJ	WO 02/072665 A1	,	09-19-2002	Tukamoto et al.		
_	BK	WO 02/22707 A1	-	03-21-2002	Nakajima et al.		
	BL	WO 02/057335 A1		07-25-2002	Nakaiima et al.		

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Complete if Known Application Number 10/721,684 Filing Date 25 November 2003 First Named Inventor Andrew Wallace Art Unit Examiner Name

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Examiner Cite Initials* No.1		Foreign Patent Document		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)		MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
	BM	WO 02/068500 A1		09-06-2002	Nakajima et al.		
_	BN	JP 2001/026639 A	-	01-30-2001	Toyobo KK		
	ВО	JP 2002/249567 A	-	09-06-2002	Toyobo KK		Г
	BP	JP 2002/249569 A	-	09-06-2002	Toyobo KK		Г
	BQ	JP 2002/249572 A	•	09-06-2002	Toyobo KK		Г
	BR	JP 2000/302854 A	-	10-31-2000	Toyobo KK		

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Sheet 4

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	BS	JP 2002/155134 A		05-28-2002	Toyobo KK		Г
	ВТ	JP 2002/220453 A	•	08-09-2002	Toyobo KK		
	BU	JP 2001/213952 A		08-07-2001	Toray Ind. Inc.		
	BV	JP 2001/019753 A2	,	01-23-2001	Meldform Metals		
_	BW	JP 2000/143790 A2		05-26-2000	Mitsui Chemical		
-	ВХ	JP 47035794 B4		09-08-1972	Teijin Ltd.		_

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Signature	Considered	
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Sheet 5

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First Named Inventor	Andrew Wallace			
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Attorney Docket Number	P27267-A USA			

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	BY	DE 2202476	•	08-24-1972	Imperial Chemic	
	BZ	FR 2173075	:	12-17-1975	Eastman Kodak	

Examiner	Date	
Signature	Considered	İ

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INF	ORMATION	DIS	CLOSURE	Filing Date	25 November 2003		
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Sheet	6	of	6	Attorney Docket Number	P27267-A USA		

	NON PATENT LITERATURE DOCUMENTS	
Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
DA	Tremblay, Using Simulation Technology to Improve Profitability in the Polymer Industry, Aspen Technology Inc., 1999, AIChE Annual Meeting, Houston, Texas, Mar. 14-19, 1999	
DB	PET "White Book: A useful reference document for the PET user industry", producedby the APME, Dec. 1998, Constar Europe Marketing	
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	DA	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  Tremblay, Using Simulation Technology to Improve Profitability in the Polymer Industry, Aspen Technology Inc., 1999, AIChE Annual Meeting, Houston, Texas, Mar. 14-19, 1999  PET "White Book: A useful reference document for the PET user industry",

Examiner	Date	
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